

# FUND ORDER FORM

## KBI Funds ICAV (“the ICAV”)

All dealing instructions in relation to the Shares in the ICAV must be received before the time(s) specified in the Prospectus and/or relevant Fund Supplement as the case may be (together the “Prospectus”). Dealing Instructions received after such times will generally be deemed to have been received for the next relevant dealing day. Please refer to the dealing deadlines section below for specific details. If you wish to send subscription, redemption or switch orders via email then please read Appendix 1 carefully.

**For new investors to the ICAV please note that this FUND ORDER FORM should only be completed along with an ACCOUNT OPENING FORM which is available from the Administrator as the address below or at [www.kbiglobalinvestors.com](http://www.kbiglobalinvestors.com). Subscription orders should not be sent until the account opening process has been confirmed as complete by the Administrator as per the cover page of the Account Opening Form.**

**Subscription orders sent before the account is confirmed as open will NOT be processed. Any subscription monies sent before the account is confirmed as open will also be returned (this may take up to 5 days).**

<b>By ticking the box opposite, I hereby consent to the provision of contract notes, statements and other reports, by secured or encrypted electronic transmission, which may be issued from time to time by the Administrator in respect of my holdings in the ICAV.</b>	
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### NOTES:

- a) Requests for redemption or distribution payments to be made by electronic transfer will be subject to bank charges. Such charges will normally be payable by the Shareholder although the ICAV has the discretion to determine that these charges should be borne by the ICAV or the relevant Fund.
- b) The Base Currency of the Fund and the designated currency of any Shares will be set out in the Prospectus and is also noted below. Orders for Shares shall be accepted in the Base Currency of the relevant Fund or the designated currency of the relevant Shares Class. At the discretion of the ICAV, orders may be accepted in currencies other than the Base Currency.
- c) Any subscription proceeds paid in currencies other than the Base Currency of the relevant Fund or the designated currency of the relevant Share Class will be converted into that currency at prevailing exchange rates. This foreign exchange transaction will be arranged by the Administrator at the cost and risk of the relevant investor.
- d) Please ensure that you have read the Prospectus and the Key Investors Information Document (KIID) before completing the Fund Order Form. Defined terms used in the Fund Order Form are those used in the Prospectus (unless the context requires otherwise).

### ADMINISTRATOR

**Northern Trust International Fund Administration Services (Ireland) Limited  
City East Plaza - Block A,  
Towlerton, Ballysimon Road,  
Limerick, V94 X2N9  
Ireland**

**Telephone: +353 1 434 5121  
Facsimile: +353 1 434 5253**

## Bank Account Information

### Please note important information regarding bank accounts for subscriptions and redemptions

- Third party payments are not permitted for redemptions.

Please confirm the details of the bank accounts from which and to which payments will be made below. A recent bank statement (no more than 6 months old), or a banker's reference, must accompany the application to evidence that the bank account details provided for subscriptions and redemption payments are for a bank account in the registered holder's account name. Accounts in the name of regulated entities are exempt from this requirement.

Bank account evidence documentation must also include one of the below:

- Printed bank statements on headed paper showing the investor's name, address and account details
- OR**
- Printed correspondence from the bank which confirms the investor's account name, address and account details

## Method of Payment

- ☐ Please pay monies in accordance with the deadlines outlined in the Prospectus (summarised in dealing deadlines section below).
- ☐ Please remit payment to the following bank account:-

British Pound - GBP
<b>Intermediary Bank:</b> Barclays Bank PLC, London
<b>Intermediary SWIFT Code:</b> BARCGB22
<b>Beneficiary Bank:</b> The Northern Trust International Banking Corporation, New Jersey
<b>IBAN:</b> GB64BARC20325353529495
<b>Beneficiary Bank SWIFT code:</b> CNORUS33
<b>Beneficiary:</b> KBI FUNDS ICAV - 627182-20019
<b>Reference:</b> * Contract number or Account Number (Investor ID)

Euro - EUR
<b>Intermediary Bank:</b> Barclays Bank PLC, Frankfurt
<b>Intermediary SWIFT:</b> BARCDEFF
<b>Beneficiary Bank:</b> The Northern Trust International Banking Corporation, New Jersey
<b>Beneficiary Banks Account Number:</b> 0210472800
<b>Beneficiary Bank SWIFT:</b> CNORUS33
<b>Beneficiary:</b> KBI FUNDS ICAV - 627190-20019
<b>Reference:</b> **Contract number or Account Number (Investor ID)

Australian Dollar - AUD *
<b>Intermediary Bank:</b> National Australia Bank, Melbourne
<b>Intermediary SWIFT:</b> NATAAU33
<b>Beneficiary Bank:</b> The Northern Trust International Banking Corporation, New Jersey
<b>Beneficiary Banks Account Number:</b> 1803007471500
<b>Beneficiary Bank SWIFT:</b> CNORUS33
<b>Beneficiary:</b> KBI FUNDS ICAV - 627216-20019
<b>Reference:</b> **Contract number or Account Number (Investor ID)

#### U.S. Dollar

**Beneficiary Bank:** The Northern Trust International Banking Corporation, New Jersey  
**SWIFT:** CNORUS33  
**Fedwire ABA:** 026001122  
**CHIPS ABA:** 0112  
**Beneficiary:** KBI FUNDS ICAV - 179499-20010  
**Reference:** \*Contract number or Account Number (Investor ID)

#### Swiss Franc - CHF \*

**Intermediary Bank:** Credit Suisse AG, Zurich  
**Intermediary SWIFT:** CRESCHZZ80A  
**Beneficiary Bank:** The Northern Trust International Banking Corporation, New Jersey  
**IBAN:** CH1004835098499033010  
**Beneficiary Bank SWIFT:** CNORUS33  
**Beneficiary:** KBI FUNDS ICAV - 627208-20019  
**Reference:** \*\*Contract number or Account Number (Investor ID)

#### Canadian Dollar - CAD

**Intermediary Bank:** Bank of Montreal, Toronto, Canada  
**Intermediary SWIFT:** BOFMCAM2  
**Beneficiary Bank:** The Northern Trust International Banking Corporation, New Jersey  
**Beneficiary Banks Account Number :** 31441044481  
**Beneficiary Bank SWIFT:** CNORUS33  
**Beneficiary:** KBI FUNDS ICAV - 627224-20019  
**Reference:** \*\*Contract number or Account Number (Investor ID)

#### Czech Koruna - CZK

**Intermediary Bank:** UniCredit Bank Czech Republic and Slovakia, A.S Prague  
**Intermediary SWIFT:** BACXCZPP  
**Beneficiary Bank:** The Northern Trust International Banking Corporation, New Jersey  
**Beneficiary Banks Account Number:** 2106946725  
**Beneficiary Bank SWIFT:** CNORUS33  
**Beneficiary:** KBI FUNDS ICAV – 649442-20019  
**Reference:** \*\*Contract number or Account Number (Investor ID)

#### Japanese Yen - JPY \*\*

**Intermediary Bank:** Bank of America, NA, Tokyo, Japan  
**Intermediary SWIFT:** BOFAJPJX  
**Beneficiary Bank:** The Northern Trust International Banking Corporation, New Jersey  
**Beneficiary Banks Account Number:** 50234015  
**Beneficiary Bank SWIFT:** CNORUS33  
**Beneficiary:** KBI FUNDS ICAV – 629493-20019  
**Reference:** \*\*Contract number or Account Number (Investor ID)

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Singapore Dollar - SGD
<b>Intermediary Bank:</b> DBS Bank Ltd., Singapore
<b>Intermediary SWIFT:</b> DBSSSGSG
<b>Beneficiary Bank:</b> The Northern Trust International Banking Corporation, New Jersey
<b>Beneficiary Banks Account Number:</b> 0370035947
<b>Beneficiary Bank SWIFT:</b> CNORUS33
<b>Beneficiary:</b> KBI FUNDS ICAV – 615310-20019
<b>Reference:</b> **Contract number or Account Number (Investor ID)

\*Please note that CHF payments needs to be received by 10:30am GMT on Settlement date

\*\* Please note that AUD, SGD, JPY payments needs to be received by 2:30pm GMT on Settlement date -1

\*\*\* Please note that CZK payments needs to be received by 8:30am GMT on Settlement date

**Please note:** Monies must come from the account of the investor.

## Dealing Deadlines

### Subscriptions and Redemptions

The latest time for receipt of instructions is detailed per Fund in the table below. Where instructions are received after these times they will be held over until the next dealing day. Redemptions will not be processed on non-cleared/verified accounts.

Fund	Dealing Deadline (Irish Time)
KBI Water Fund	11:00am on D
KBI Global Energy Transition Fund	11:00am on D
KBI Global Solutions Fund	11:00am on D
KBI Circular Economy Fund	11:00am on D
KBI Developed Equity Fund	11:00am on D
KBI Emerging Markets Equity Fund	11:00am on D
KBI Eurozone Equity Fund	11:00am on D
KBI North America Equity Fund	11:00am on D
KBI Integris Global Equity Fund	11:00am on D
KBI Global Small Cap Equity Fund	11:00am on D
KBI Global Sustainable Infrastructure Fund	3:00pm on D
KBI Diversified Growth Fund	11:00am on D

## Subscription Form

Please complete this form in blue or black ink using BLOCK CAPITALS:

**KBI Funds ICAV**

C/o Northern Trust International Fund Administration Services  
(Ireland) Limited Investor Services Department  
City East Plaza - Block A,  
Towlerton, Ballysimon Road,  
Limerick, V94 X2N9, Ireland

### REGISTERED INFORMATION

Registered Account Name		
Account Number (if available)		
Your Company Contact	Name:	Phone No:
		E-Mail Address:

### ORIGINATING ACCOUNT DETAILS

Bank Name
Bank Address
Sort Code
ABA (only if applicable)
Account Name
Account Number
Payment Reference

**Please note:**

Any subscription proceeds paid in currencies other than the Base Currency of the relevant Fund or the designated currency of the relevant Share Class will be converted into that currency at prevailing exchange rates. This foreign exchange transaction will be arranged by the Administrator at the cost and risk of the relevant investor.

A request to change Bank Account details **must be made in writing** to the Administrator and must be accompanied by a bank statement or banker's reference.

## Subscription Form

Please complete the table below clearly detailing the required share class, currency and monetary amount (or shares) that you wish to subscribe for in any Fund.

**Please note:**

A list of the standard share classes available per investor type is detailed on the following page. Any share class selected in the table below that is not on this standard list must be agreed in advance with the ICAV promoter & distributor, KBI Global Investors Ltd (see details below).

Please also note that failure to specify a choice of 'Dist' (Distributing) or 'Accum' (Accumulating), where relevant in the table below, will result in an automatic selection of the Accumulating share class option.

Failure to agree non-standard share classes in advance of completing this Form may result in your subscription order being delayed or rejected. The full list of share classes per Fund is available in the Prospectus which can be found at [www.kbiglobalinvestors.com](http://www.kbiglobalinvestors.com).

Fund	Share Class	Dist or Accum	Currency	Amount	Shares
KBI Water Fund					
KBI Global Energy Transition Fund					
KBI Global Solutions Fund					
KBI Circular Economy Fund					
KBI Developed Equity Fund					
KBI Emerging Markets Equity Fund					
KBI Eurozone Equity Fund					
KBI North America Equity Fund					
KBI Integris Global Equity Fund					
KBI Global Small Cap Equity Fund					
KBI Global Sustainable Infrastructure Fund					
KBI Diversified Growth Fund		N/A			

**ICAV Distributor**

**KBI Global Investors Ltd**  
**3<sup>rd</sup> Floor, 2 Harbourmaster Place**  
**I.F.S.C.**  
**Dublin 1**  
**Ireland**  
**Telephone: +353 1 438 4400**

## Subscription Form

### Standard Share Classes per Fund:

#### Institutional Investors

Fund	EUR	GBP	USD	CHF	CAD	CZK	SGD
KBI Water Fund	H	A	A	A	A	B	-
KBI Global Energy Transition Fund	D	A	A	-	A	-	-
KBI Global Solutions Fund	H	A	A	-	A	-	-
KBI Circular Economy Fund	H	A	A	A	A	B	-
KBI Developed Equity Fund	H	A	B	A	A	-	-
KBI Emerging Markets Equity Fund	H	A	A	A	A	-	-
KBI Eurozone Equity Fund	C	A	A	-	A	-	-
KBI North America Equity Fund	H	A	A	-	A	-	-
KBI Integris Global Equity Fund	C	A	B	-	A	-	-
KBI Global Small Cap Equity Fund	H	A	B	A	A	-	-
KBI Global Sustainable Infrastructure Fund	D	A	B	-	A	A	A
KBI Diversified Growth Fund	D	A	B	-	A	-	-

#### Retail Investors

Fund	EUR	GBP	USD	CHF	CAD	CZK	SGD
KBI Water Fund	I	B	n/a	B	n/a	B	-
KBI Global Energy Transition Fund	B	B	n/a	-	n/a	-	-
KBI Global Solutions Fund	F	B	n/a	-	n/a	-	-
KBI Circular Economy Fund	I	B	n/a	C	n/a	A	-
KBI Developed Equity Fund	I	B	n/a	B	n/a	-	-
KBI Emerging Markets Equity Fund	F	B	n/a	-	n/a	-	-
KBI Eurozone Equity Fund	F	B	n/a	-	n/a	-	-
KBI North America Equity Fund	F	B	n/a	-	n/a	-	-
KBI Integris Global Equity Fund	F	B	n/a	-	n/a	-	-
KBI Global Small Cap Equity Fund	I	B	n/a	B	n/a	A	-
KBI Global Sustainable Infrastructure Fund	F	B	n/a	-	n/a	A	B
KBI Diversified Growth Fund	I	B	n/a	-	n/a	-	-



## Subscription Form

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**Please note:**

- Monies must originate from the account of the investor.
- Failure to complete these details accurately and in accordance with the original signed Account Opening Form and to forward monies within the relevant settlement time scale may result in the loss of good value and an interest claim from the ICAV.
- I/We confirm that I/we have the authority to make this investment.
- I/we confirm that I/we have read the information contained in this form and confirm that a copy of the latest Key Investor Information Document (KIID), relevant to my/our proposed investment(s), has been made available to me/us in accordance with the method I/we elected in the Account Opening Form. I/we confirm that I/we have read the latest Key Investor Information Document. I/we also acknowledge and agree that I/we will read and review the latest version of the relevant KIID prior to making any subsequent application for Shares in any Fund or share class of the ICAV. I/we confirm that any future investments into any other Fund or Share Class of the ICAV can be transacted based on this confirmation.
- I/We hereby agree to indemnify and hold harmless the Administrator and the ICAV, and their directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to the ICAV or the Administrator. The Administrator will not be responsible or liable for the authenticity of instructions received from me/us or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed by the Administrator to be genuine.

### Authorised Signatories

### Print Name

Signatory 1	
Signatory 2	
Signatory 3	
Signatory 4	

Date	
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## Redemption Form

Please complete this form in blue or black ink using BLOCK CAPITALS:

### **KBI Funds ICAV**

C/o Northern Trust International Fund Administration Services  
(Ireland) Limited Investor Services Department  
City East Plaza - Block A,  
Towlerton, Ballysimon Road,  
Limerick, V94 X2N9, Ireland

### REGISTERED INFORMATION

Registered Account Name		
Account Number		
Your Company Contact	Name:	Phone No:
		E-Mail Address:

### BANK ACCOUNT DETAILS

(Where the below bank account instructions differ from those held on file by the Administrator, a 'Change in Bank Details Request Form' must be completed. This Form is available from the Administrator).

Bank Name	
Bank Address	
Sort Code	
ABA (only if applicable)	
Account Name	
Account Number	
IBAN Number	
SWIFT Code	
Correspondent Bank	

## Redemption Form

Please complete the table below clearly detailing the required share class, currency and monetary amount (or shares) that you wish to redeem from any Fund that you are invested in.

Fund	Share Class	Dist or Accum?	Currency	Amount	Shares
KBI Water Fund					
KBI Global Energy Transition Fund					
KBI Global Solutions Fund					
KBI Circular Economy Fund					
KBI Developed Equity Fund					
KBI Emerging Markets Equity Fund					
KBI Eurozone Equity Fund					
KBI North America Equity Fund					
KBI Integris Global Equity Fund					
KBI Global Small Cap Equity Fund					
KBI Global Sustainable Infrastructure Fund					
KBI Diversified Growth Fund		N/A			

### **Please note:**

In the absence of a selected share class currency or type (Distributing or Accumulating where applicable), redemptions will automatically be made from the share class currency and type in which you are invested. If you happen to be invested in multiple share classes of the same Fund, failure to clearly specify your share class selection in the table above may lead to your order being delayed or rejected.

Any redemption proceeds paid in currencies other than the Base Currency of the relevant Fund or the designated currency of the relevant Share Class will be converted into that currency at prevailing exchange rates. This foreign exchange transaction will be arranged by the Administrator at the cost and risk of the relevant investor.

**Please note:**

- I/We confirm that I/we have the authority to make this redemption request.
- I/We hereby agree to indemnify and hold harmless the Administrator and the ICAV and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly, from any misrepresentation or breach of any warranty, condition, covenant or agreement set forth herein or in any document delivered by me/us to the ICAV or the Administrator. The Administrator will not be responsible or liable for the authenticity of instructions received from me/us or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed by the Administrator to be genuine.

**Authorised Signatories****Print Name**

Signatory 1	
Signatory 2	
Signatory 3	
Signatory 4	

Date	
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**KBI Global Investors Ltd**  
**Investment Manager & Distributor of the KBI Funds ICAV**  
3<sup>rd</sup> Floor, 2 Harbourmaster Place  
I.F.S.C.  
Dublin 1  
Ireland  
Tel: (01) 438 4400

[www.kbiglobalinvestors.com](http://www.kbiglobalinvestors.com)

KBI Global Investors Ltd is regulated by the Central Bank of Ireland.

## Appendix 1

### ICAV Orders via Electronic Mail

#### Terms and Conditions of Service for instructions issued to the Administrator via email

##### Introduction

The terms and conditions set out below will apply if you wish to send Northern Trust International Fund Administration Services (Ireland) Limited (the “**Administrator**”) instructions in respect of shares of the KBI Funds ICAV (the “**ICAV**”) via email in scanned portable document format (“**PDF**”) or other commonly used equivalents.

#### **IT IS IMPORTANT THAT YOU READ THESE TERMS AND CONDITIONS OF SERVICE CAREFULLY.**

By completing the appropriate documentation (e.g. original subscription forms, additional subscription forms, transfer/switch requests or redemption forms) and instructing the Administrator in respect of the shares of the ICAV via email, you hereby agree to the following Terms and Conditions of Service.

##### A. Procedure for PDF Instructions

1. The email address to submit PDF instructions is [KBITAInstructions@ntrs.com](mailto:KBITAInstructions@ntrs.com)

**This address is to be solely used for sending PDF instructions via email in respect of the shares in the ICAV.**

2. You shall ensure that the PDF instruction is signed by properly authorised persons, scanned and attached to a **blank email** which is addressed to [KBITAInstructions@ntrs.com](mailto:KBITAInstructions@ntrs.com)
3. Please ensure that your blank e-mail does not contain any text or non-text items, including but not limited to logos.
4. Each blank e-mail sent to the email address specified above may only include **one** PDF file attachment. However, the single PDF file attachment may contain multiple instructions in relation to Shares in more than one Sub-Fund of the ICAV.

**A blank e-mail with multiple PDF attachments will be rejected by the Administrator.**

5. PDF instructions received without the scanned PDF form attached will not be accepted.
6. Upon receipt of an email with the scanned PDF instruction, the Administrator will send you a task number by auto-response.
  - a. The task number acknowledges receipt of your instruction.
  - b. The task number is not confirmation of placement of the instruction.
  - c. The Administrator must be in receipt of the instruction prior to the relevant dealing deadline as set out in the ICAV's Prospectus. The Administrator will not be responsible for any delays in receipt.
  - d. If you do not receive a task number by auto response, it is your responsibility to contact the Administrator by telephone (details confirmed within the application form) to confirm that the Administrator has received your instruction.
7. If you send the Administrator a PDF dealing instruction in respect of the shares in the ICAV to a mailbox other than specified above, the Administrator will reject the instruction and/or the deal will not be placed. Notification of rejection may not be given prior to the dealing cut off.
8. In cases where you have supplied the Administrator with a “group” email address, the Administrator will have fully discharged its responsibilities where it has sent any communication to this “group” address.
9. You should not send a duplicate instruction by alternative means to the Administrator as this could lead to a duplicate e.g deals being placed in error.
10. Please note that you are not obliged to send PDF instructions via email; this service is optional

**B. General Terms and Conditions of Service :**

1. Email is not a secure form of communication and may be subject to interception, interruption, corruption, distortion, non-delivery, loss, may not be confidential, secure or error free and may contain viruses. Using and relying on email involves increased risk of fraud and of miscommunications including those due to a telecommunications system or equipment failure, misdirected communications or illegibility of the instructions or documents and you will bear the risks if you wish to conduct your dealings using email.
2. The Administrator is authorised and instructed to accept and execute any instructions in respect of shares in the ICAV given by you in PDF form or by email. The Administrator will rely conclusively upon such instruction, and neither the ICAV nor the Administrator shall incur liability in respect of, any action taken upon any instruction believed in good faith to be genuine.
3. Neither the ICAV nor the Administrator will be responsible or liable for the authenticity of instructions received from you or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed by the ICAV or the Administrator to be genuine.
4. Neither the ICAV nor the Administrator will accept responsibility or liability of any nature whatsoever arising out of or in connection with instructions given by you in PDF form or by email, including without limitation, your use of an incorrect email address, failure of your transmission, interception, alteration or corruption of your email transmission, non-receipt of your electronic instruction, failure of technical infrastructure, error, omission, interruption, deletion, defect, delay in operation or transmission, computer virus, communication line failure, or any allotment, switch or redemption or other action taken in good faith by the Administrator upon any electronic instruction. In addition, neither the ICAV nor the Administrator will be liable for any failure to act upon electronic instructions due to equipment failure or for any cause that is beyond the control of the ICAV or the Administrator.